



BWA Cash Management Account Form D – Third Party Authority

This form is used to appoint a Third Party to have access to and/or operate your CMA account.

Please print clearly using CAPITAL LETTERS. Where indicated, please mark boxes with a tick (✓).

GUIDELINES FOR COMPLETING THIS FORM

1. CMA Account Details	If you have already been issued with a BSB and CMA account number, please provide these details and the CMA account name. If you have not been issued with a CMA account number, please leave this blank.
2. Appointing a person with Enquiry Access or appointing a person as a Full Authorised Signatory	Complete this section if you wish to appoint a person to operate your CMA account as a Full Authorised Signatory with full access or to provide them with Enquiry Access to access information about your CMA account .
Documents required*	All Signatories must satisfy the identification requirements.
Signature	Each Signatory must sign the form where indicated.
Manner of Operation	Full Authorised Signatories will be subject to the current Manner of Operation on your CMA account.
3. Appointing a Third Party	Complete this section if you wish to appoint a Third Party with authority to operate your CMA account.
Access Levels	Select the level of access to be provided to the Third Party.
Documents required*	All account signatories must satisfy the identification requirements.
4. Access Facilities	Unless you indicate otherwise, additional Full Authorised Signatories will receive the same Access Facilities as the CMA account holder(s). Please select the Access Facilities required for the Third Party.
5. Terms and Conditions	Ensure that all CMA account signatories read and understand the Terms and Conditions.
6. Declaration and Signature of CMA Account Holders	Ensure that all CMA account signatories (as required by the Manner of Operation) sign the declaration where indicated.

**Please note that further documentation may be requested in certain circumstances.*

1. CMA ACCOUNT DETAILS

If you have already been issued with a CMA account number, please provide CMA account details below:

BSB and CMA account number

CMA account name

2. APPOINTING A PERSON WITH ENQUIRY ACCESS OR APPOINTING A PERSON AS A FULL AUTHORISED SIGNATORY

Please provide details below of each person you wish to grant Enquiry Access or be a Full Authorised Signatory in order to access and operate your CMA account. Every signatory must satisfy the identification requirements. Please note that any person you appoint as a Full Authorised Signatory to your CMA account will be able to withdraw money from your CMA account, access your CMA account using any access method available to you (potentially including Online Access and Phone Access), order and use a cheque book and/or a debit card in connection with your CMA account and make changes to your CMA account details.

APPOINTMENT OF FIRST PERSON

Enquiry access **OR** Full authorised signatory

Mr Mrs Miss Ms Other

Given name(s)

Surname

Residential address (mandatory, a PO Box, RMB or C/- is not sufficient)

Suburb State

Postcode Country (leave blank if Australia)

Work phone number Home phone number

Mobile Date of birth /

Email address

Occupation

Password

Employer

Signature of first person

X

APPOINTMENT OF SECOND PERSON

Enquiry access **OR** Full authorised signatory

Mr Mrs Miss Ms Other

Given name(s)

Surname

Residential address (mandatory, a PO Box, RMB or C/- is not sufficient)

Suburb State

Postcode Country (leave blank if Australia)

Work phone number Home phone number

Mobile Date of birth /

Email address

Occupation

Password

Employer

Signature of second person

X

If you wish to appoint more than two persons to have access to and operate your CMA account, please complete an additional Form D – Third Party Authority.

Please tick (✓) if additional form(s) are attached.

ID Details

Please ensure the correct identification documents are provided for all appointed persons. Please refer to the PIS for acceptable ID documents.

3. ACCESS FACILITIES

Unless you indicated otherwise, additional Full Authorised Signatories will receive the same Access Facilities as the CMA account holder(s). If you require additional Access Facilities, please indicate by ticking (✓) the boxes below.

- | | |
|---|---|
| <input type="checkbox"/> Phone Access*
<input type="checkbox"/> Online Access*
<input type="checkbox"/> Debit Card* | <input type="checkbox"/> Cheque Book (25 per book)
<input type="checkbox"/> Deposit Book |
|---|---|

* Only available for individuals appointed using Section 2 of this form.

4. APPOINTING A THIRD PARTY AUTHORITY

Please provide details below of each Third Party (including any Firm, Company or Adviser Firm) you wish to appoint to access and operate your CMA account. Refer to section 5 – Terms and Conditions of this form.

Entity name

ACN

ABN (if applicable)

Country of establishment (leave blank if Australia)

Street/Business Address (Primary Business Address) (mandatory, a PO Box, RMB or C/- is not sufficient)

Address

Suburb

State

Postcode

Country (leave blank if Australia)

Contact name

Contact phone number

The appointed Third Party is to have (✓):

Enquiry access

Fee payment authority

General withdrawal authority

Please select the manner of operation for the Third Party by ticking (✓) one of the following:

Any one to sign

Any two to sign

All of us to sign

Signature of corporate officer

Name

Corporate title (if applicable)

Date

Signature of corporate officer

Name

Corporate title (if applicable)

Date



If an applicant is a company, then two directors or a director and the company secretary should sign. If the company is a proprietary company and has only one director who is also the sole company secretary or there is no company secretary then that director should sign.

IDENTIFICATION DETAILS

Please ensure the following identification documents are provided:

- Business – Original certified copy of the Business Name Registration Certificate
- Company – Original certified copy of the Certificate of Incorporation
- Corporate Officers – Please refer to the PIS for acceptable ID Documents

5. TERMS AND CONDITIONS

1.1 GENERAL CONDITIONS WHICH APPLY TO ALL THIRD PARTY AUTHORITIES

Only you may appoint a Third Party to access or withdraw from your CMA account, in which case, you will be bound by their transactions and instructions to us in the same way as if you had performed them yourself.

Withdrawals may be made for any amount and may be made at any time.

Where a future date is specified for a withdrawal, we may decline any other withdrawal request if there would be insufficient funds in your CMA account to cover the future dated payment and those other withdrawal requests.

If you appoint a Third Party to withdraw from your CMA account, they will also automatically have the ability to enquire on your CMA account.

You indemnify us against all losses, liabilities and costs incurred directly or indirectly:

- as a result of the appointment of a Third Party, and
- in connection with any action by a Third Party under their appointment or any payment made from your CMA account on their instruction (including any instruction that exceeds their authority).

You release us from claims and liabilities in connection with any act or omission relating to the appointment of a Third Party to your CMA account.

However, we remain liable for any losses, liabilities and costs which by operation of law we cannot exclude.

The Third Party Authority takes effect on the date when we amend our records to note the appointment of the change and continues until cancelled. You can cancel this authority by telling us in writing but cancellation also takes effect only from the date when we amend our records to note the change.

Third Parties may not set up a Regular Investment Option on your CMA account. Third Parties cannot give other Third Parties access or authority on your account, other than persons nominated by the Adviser Firm.

We may cancel the appointment of a Third Party as an authority on your CMA account by telling you in writing.

In the event of the death of the CMA account holder all Third Party Authorities will automatically terminate.

1.2 THIRD PARTY AUTHORITY TO COMPANY OR FIRM (SUCH AS ADVISER FIRM)

Where you give Third Party Authority to a company or firm (such as Adviser Firm):

- it will provide us with such details as we, from time to time, require in relation to the person(s) authorised to operate your CMA account under this authority, including identification and specimen signature(s)
- you acknowledge and agree that the company or firm and the people nominated by the company or firm can withdraw from your CMA account in accordance with the authority that you have given it
- we will only act on withdrawal requests from the company or firm, if the person requesting the withdrawal has been nominated by it
- the company or firm must advise us if any of the nominated person(s) no longer has authority. If we are not advised in writing then that person may still transact on your CMA account. You cannot claim that the person is not acting for you until we are advised in writing by the company or firm.

1.3 CONDITIONS RELATING TO EACH OF THE LEVELS OF AUTHORITY

Enquiry Access:

- you authorise the Third Party to have access to information about your CMA account
- you do not authorise the Third Party to make withdrawals from your CMA account, make any changes to your CMA account details, close your CMA account or enable use of your cheque book or debit card, should you have one.

Fee Payment Authority:

- you authorise the Third Party to have Enquiry Access and to make withdrawals from your CMA account for the purpose of paying their fees and regulatory fees.

General Withdrawal Authority:

- you authorise the Third Party to make payments and withdrawals in accordance with the terms set out under 'Fee Payment Authority' above, and
- in addition, you authorise the Third Party to make payments and withdrawals for any purpose including settlement or investment using online, electronic and telephone withdrawal services or by any other method agreed in writing by us
- you do not authorise the Third Party to make any changes to your CMA account details, close your account or enable use of your cheque book or debit card, should you have one, and
- your stockbroker or Adviser Firm has the right to place a hold on funds in your CMA account, for future payment purposes.

Full Authorised Signatory (individuals):

- you authorise the Third Party to make payments and withdrawals in accordance with the terms set under 'General Withdrawal Authority' above, and
- in addition, this authority allows the Third Party to use the cheque book and/or debit card facilities, change details on your account and close your CMA account. This does not allow the authorised signatory to appoint other authorised signatories to the account except to the extent permitted under clause 1.2.

6. DECLARATION AND SIGNATURE OF CMA ACCOUNT HOLDERS

Signature of CMA account signatory 1/corporate officer

Name

Corporate title (if applicable)

Date

Signature of CMA account signatory 2/corporate officer

Name

Corporate title (if applicable)

Date



If an applicant is a company, then two directors or a director and the company secretary should sign. If the company is a proprietary company and has only one director who is also the sole company secretary or there is no company secretary then that director should sign.

Approved distributor use only

Adviser firm name

Adviser name

Platform name

Platform reference

Office use only

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